



Terms and Conditions for RIX and monetary policy instruments

Collateral Instructions

Annex S3

Instructions for form S.B1 - Application form for approval of assets as collateral for credit

FEBRUARY 2018

S.B1 – Application for approval of assets as collateral for credit

Who should use this form?

Any Counterparty wishing to have an asset approved as collateral.

What is this form used for?

Requesting approval of an asset as collateral.

How should this form be completed?

The form is a protected Word document. Shaded fields should not be filled in.

The detailed conditions for an asset to be approved as collateral can be found in the Collateral Instructions, Annex H4 to the Terms and Conditions for RIX and monetary policy instruments.

Descriptions of fields

Date (1)	Date of application. <i>Obligatory information</i>
Date of arrival (2)	The date upon which the application arrived at the Riksbank. <i>Not to be filled in by the applicant but by the Riksbank.</i>
Applying institution (3)	Applying Counterparty's name. <i>Obligatory information</i>
Contact person - name, email and telephone (4)	Contact information including name, email address and telephone number for the individual authorised according to power of attorney S.B3 to submit this application <i>Obligatory information</i>
Issuer (5)	<i>Obligatory information</i>
ISIN (6)	International Securities Identification Number. <i>Obligatory information</i>
Applicant's relationship with issuer (7)	For a definition of the term "with close links", see Annex H4, point 2.1.1 of the Terms and Conditions. Mark one box. A counterparty with close links to the issuer may apply to have a security approved. <i>Obligatory information</i>
The security does not have subordinated payment rights (8)	The Riksbank does not accept securities with subordinated payment rights (for more details, see Annex H4, point 2.1 of the Terms and Conditions). Confirm that this is not the case by marking the box. <i>Obligatory information</i>

The above information is correct (9)

Processing depends upon the submittal of the correct information by the applicant. Confirm that this is the case by marking the box.

Obligatory information

Signature (10)

The application shall be signed by a person authorised according to power of attorney S.B3 to submit the application for the institution.

Obligatory information

How should the application be submitted?

The form is to be sent to the address collateral@riksbank.se. The form must be signed and all obligatory information must have been provided. If obligatory information is missing, the Riksbank will ask the applying institution to submit a new application.

Approval process

Please refer to section 4.2 of the Collateral Instructions, Annex H4 to the Terms and Conditions for RIX and monetary policy instruments.

Assuming that the application is correct and complete, the Riksbank will normally process it within one working week. This will take longer if an issuer's security has not previously been investigated by the Riksbank or if large numbers of applications are submitted at the same time.

Approved applications

The conditions for approving an application of an asset as collateral for credit in RIX are that all requirements in Annex H4 to the Terms and Conditions for RIX and monetary policy instruments are fulfilled. Approved assets are included in the Riksbank's list of eligible assets, which is updated daily. Only denied applications are notified to the applying institution by email or telephone.