



Decision

DATE: 20 March 2023
DEPARTMENT: The whistle blower function
REFERENCE: Maria Brittenstam/Susanne Wintzell
DOCUMENT RB PUBLIC
CLASSIFICATION

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DNR 2023-00429

Whistle blower case: Suspected irregularity regarding a contractual relationship between the Riksbank and a supplier

According to the Riksbank's routine description for whistle blowing dated July 2022, the recipient function for a whistle blowing matter is to independently handle any cases received. This means that the recipients have a mandate to initiate and close investigations and to formulate independent conclusions from following up reports.

On 21 October 2022, a case was submitted to the whistle blower function. The case concerned suspected irregularity regarding a contractual relationship between the Riksbank and a supplier.

The whistle blower function chose to use external assistance to ensure objectivity. Ernst & Young ("The investigator") was engaged for this purpose on 17 November 2022. The investigator has carried out the task with the aid of interviews and scrutiny of documents and concluded the case by presenting a final report to the whistle blower function today (*see enclosure*). The whistle blower function has, during the course of the investigation, kept the Executive Board informed of the progress of the work. The General Council of the Riksbank has also been informed that there is an ongoing whistle blower case under investigation. The HR Manager and the General Counsel have assisted the whistle blower function with some assessments.

Given the findings of the external report, the whistle blower function has decided to conclude the case by taking the following measures:

- Submitting the case to the Riksbank's HR Manager with a recommendation to further report the case in its entirety to the Riksbank's Staff Disciplinary Board
- Recommending that the Riksbank's Chief of Staff examines the Riksbank's instructions for signatures and requirements when examining invoices