

The Riksbank's regulations on the obligation to report information to the Riksbank for its balance-of-payments and international-investment-position statistics (RBFS 2002:4);

adopted on 18 December 2002.

The Riksbank hereby provides the following regulations on the basis of Chapter 6, Article 9 of the Sveriges Riksbank Act (1988:1385).

Definitions

Article 1 The following definitions apply in these regulations:

Foreign counterparty: A legal entity, physical person, authority, branch or international organisation with an address abroad;

Income: Wages and return on capital.

Transfers: Transfers of real or financial assets without any return.

Direct investment relationship: Direct or indirect ownership of at least 10 per cent of the votes or capital in companies or commercial property abroad or in Sweden, and through claims on and amounts owed to associated companies in the same group.

Direct investment: Financial transactions in a direct investment relationship, such as acquisitions, company start-ups, follow-up investments including loans, opening of branches and winding-ups.

Financial instruments: Various forms of securities and derivatives of a nature that gives the holder a particular right or obligation that can normally be converted into money.

Custodian: A legal entity that stores or manages financial instruments on behalf of itself or others.

Reporting obligation

Article 2 Those who have performed transactions with a foreign counterparty or held assets and liabilities with foreign connections on behalf of themselves or others are liable to provide the Riksbank, on request, with the information needed as a basis for the Riksbank's balance-of-payments and international-investment-position statistics.

Reporting of services, etc.

Article 3 Those who perform transactions with a foreign counterparty with respect to services, transfers, income and acquisitions/disposals of right on behalf of themselves or others shall report these transactions to the Riksbank on request.

Bureaux de change

Article 4 Those who carry on business as bureaux de change for the general public shall report this business to the Riksbank on request.

Reporting of debit cards

Article 5 Companies that issue or administer debit cards shall, on request, report to the Riksbank the use of these cards abroad by Swedish legal entities or physical persons resident in Sweden. The same applies to the use of debit cards in Sweden by foreign legal entities or physical persons resident abroad.

Reporting of direct investment

Article 6 Those who have a direct investment relationship with a foreign party shall, on request, report transactions, assets, liabilities and returns to the Riksbank.

Transactions involving financial instruments, etc.

Article 7 Those who perform transactions involving financial instruments with a foreign counterparty on behalf of themselves or others shall, on request, report these transactions to the Riksbank. However, transactions that constitute direct investments shall be reported in accordance with Article 6.

Article 8 Custodians shall, on request, report to the Riksbank any holdings by Swedish legal entities or physical persons of financial instruments issued by foreign legal entities or foreign authorities and foreign parties' holdings of financial instruments issued by Swedish legal entities or authorities.

Article 9 Physical persons and legal entities other than those referred to in Article 8 shall, on request by the Riksbank, report holdings of financial instruments issued by foreign legal entities or authorities and holdings of financial instruments issued by Swedish legal entities or authorities held in custody abroad.

Reporting of other transactions with foreign counterparties and external assets and liabilities

Article 10 Physical persons and legal entities, branches and authorities that perform transactions or have assets/liabilities with regard to foreign counterparties other than those referred to in Articles 6-9 shall report these to the Riksbank on request.

Reporting times, etc.

Article 11 Monthly and quarterly data shall be reported no later than the 15th day of the following month, unless otherwise specified in these regulations. Annual data shall be reported by the date specified on the reporting form.

Article 12 Transactions and positions shall be reported by the counterparty country on request.

Article 13 Data shall be reported electronically or by using the form specified by the Riksbank.

These regulations enter into force on 1 January 2003. They replace the Riksbank's regulations (1997:4) on the obligation to report data to the Riksbank for its balance-of-payments statistics.