

Instructions RIX and Monetary
Policy Instruments

**Annex A5 Authorised
Settlement Agent**

Annex to RIX-RTGS Instructions

June 2024

Annex A5 – Authorised Settlement Agent Agreement’s parameters

The following parameters are applied in RIX-RTGS for the respective Authorised Settlement Agent Agreement.

Authorised Settlement Agent Agreement	Designation in RIX-RTGS Online	BGC	OMX	RB EKF RIX	RB ECF RIX ¹	RB ECF BGC ²	RB ECF CLS ³	RB ECF OMX ⁴	RB ECF VPC ⁵	RB for CLS ⁶	RB ECF ACH ⁷	RB ECF RTM ⁸
Function												
Unique number for Authorised Settlement Agent Agreement.	Agreement number	20	40	17	11	12	13	14	15	16	19	10
Can RTM be used under the Authorised Settlement Agent Agreement	RTM	No	No	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Can multilateral Payments be made under the Authorised Settlement Agent Agreement	Single/Batch/Both ⁹	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes
Can individual Payment instructions be sent under the Authorised Settlement Agent Instruction	Single/Batch/Both ¹⁰	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
If multilateral Payments can be made – the longest time (in minutes) between the first and final Payment instruction	Batch deadline time (minutes)	30	30	-	30	30	30	30	30	-	30	30
LOM which can be used	LOM agreement number	BGC	OMX	RIX	RIX	BGC	CLS	OMX	VPC	CLS	ACH	-
Requires that both parties or only one paying participant be covered by the Authorised Settlement Agent Agreement	Participant validation indicator	Both	Both	Both	Both	Both	Both	Both	Both	Pay.	Both	Both
Applies to a particular priority class for all Payment instructions under the Authorised Settlement Agent Agreement		No	No	No	No	No	No	No	No	No	No	No

Authorised Settlement Agent Agreement	Designation in RIX-RTGS Online	BGC	OMX	RB EKF RIX	RB ECF RIX ¹	RB ECF BGC ²	RB ECF CLS ³	RB ECF OMX ⁴	RB ECF VPC ⁵	RB for CLS ⁶	RB ECF ACH ⁷	RB ECF RTM ⁸
Function												
Name of Authorised Settlement Agent (ASA)	ASA servicing this agreement: Name	BGC (ASA)	OMX (ASA)	RB EKF (ASA)	RB (ASA)	RB (ASA)	RB (ASA)	RB (ASA)	RB (ASA)	RB (ASA)	RB (ASA)	RB (ASA)
TIA which Authorised Settlement Agent can use	Technical infrastructure agent BIC code ¹⁰	Web server	Web server	Web server	Web server	Web server	Web server	Web server	Web server	Web server	Web server	Web server
Maximum amount for a Payment instruction under the Authorised Settlement Agent Agreement	Maximum FSI settlement amount	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited	Unlimited
Minimum amount for an Authorised Settlement Agent under the Authorised Settlement Agent Agreement	Minimum FSI settlement amount	0.01	0.01	0,01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
Can the Authorised Settlement Agent register standing Liquidity Transfers on behalf of the contractors	Manage standing intra-account funding arrangements	No	No	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Can the Authorised Settlement Agent send internal Payment instructions on behalf of the contractors	Intra-account business continuity indicator	No	No	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Can the Authorised Settlement Agent register standing bilateral limits on behalf of the contractors	Manage standing bilateral limits	No	No	No	Yes	Yes	Yes	Yes	Yes	No	No	No
Can the Authorised Settlement Agent change current bilateral limits on behalf of the contractors	Manage current bilateral limits	No	No	No	Yes	Yes	No	No	No	No	No	No

¹ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in RIX LOM.

² Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in BGC LOM.

³ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in CLS LOM.

⁴ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in OMX LOM.

⁵ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in VPC LOM.

⁶ The Riksbank has entered into an agreement with CLS Bank to act on behalf of CLS when necessary.

⁷ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in ACH LOM.

⁸ Authorised Settlement Agent Agreements which in an extreme situation could be used by the Riksbank to register Payment instructions that have been settled for participants in RTM.

⁹ The text in the parameter is different than in this annex